



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/804,950	03/19/2004	Christine Konradi	04843/120003	8080

21559 7590 07/09/2009  
CLARK & ELBING LLP  
101 FEDERAL STREET  
BOSTON, MA 02110

EXAMINER
----------

SALMON, KATHERINE D

ART UNIT	PAPER NUMBER
----------	--------------

1634

NOTIFICATION DATE	DELIVERY MODE
-------------------	---------------

07/09/2009

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentadministrator@clarkelbing.com



### **DETAILED ACTION**

1. This action is in response to papers filed 4/07/2009.
2. Currently Claims 1-2 and 39-41 are pending. Claims 3-38 have been cancelled.
3. The following rejections are newly applied as necessitated by amendment.
4. This action is FINAL.

### **Interview Summary**

5. Applicant's summary of the interview on 3/11/2009 is acknowledged (p. 4 2<sup>nd</sup> paragraph).

### **Withdrawn Rejections**

6. The rejection of the claims under 35 USC 102 made in section 10 of the previous office action (1/08/2009) is moot based upon amendments to the claims.
7. The rejection of the claims under 35 USC 103(a) as obvious over Seitink et al. made in section 12 of the previous office action (1/08/2009) is moot based upon amendments to the claims and consideration of the arguments made in the reply.

### **Claim Objections**

8. Claim 2 is objected to because the claim specifically recites nonelected subject matter. The Claims require the analysis of the non-elected nucleic acid molecules.

Art Unit: 1634

Applicant has elected for examination of the claim in so far as it requires ATP Synthase, F1 complex, 0 subunit; ATP Synthase, F0 complex, d subunit; ATP Synthase, F0 complex, C3 subunit; ATP Synthase, F1 complex, gamma polypeptide 1; ATP Synthase F0 complex subunit F in the reply to restriction (4/20/2006). Prior to allowance of this, the non-elected subject matter will be required to be deleted from the claim.

### **Response to Arguments**

The reply request that the objection to Claim 2 be held in abeyance until consideration of the generic Claim 1 is given (p. 5 1<sup>st</sup> paragraph).

The claim objection will be maintained until Claim 1 is in condition for allowance or until the claim has been amended to reflect only the elected nucleic acid molecules.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

11. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not

Art Unit: 1634

commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

12. Claims 1, 39-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Van den Heuvel et al. (American Journal Human Genetics 1998 Vol 62 p. 262) in view of Lockhart et al. (US Patent 6040138 March 21, 2000).

With regard to Claim 1, Van den Heuvel et al. teaches a mutation in the human nuclear gene encoding the AQDQ subunit of the mitochondrial respiratory chain complex I (abstract). Van den Heuvel et al discloses the cDNA sequences of the nuclear gene which results in the mutation (p. 263 1<sup>st</sup> column 3<sup>rd</sup> paragraph). Therefore Van den Heuvel et al. teaches nucleic acids molecules which encode the polypeptides of complex I of the mitochondrial respiratory chain being naturally coded for by a nuclear gene. Van den Heuvel et al. teaches the use of only nuclear genes to detect a pathogenic mutation in a population which caused enzyme deficiency (p. 262 1<sup>st</sup> column 1<sup>st</sup> paragraph).

However Van den Heuvel et al. does not teach placing the nucleic acid fragments of the mutation onto a microarray.

With regard to Claim 1, Lockhart et al. teaches placing oligonucleotides probes onto an array (solid support) to detect expression (Abstract).

With regard to Claim 39, Lockhart et al. teaches the probes can be at least 40

Art Unit: 1634

nucleotides in length (column 15 lines 53-60).

With regard to Claims 40-41, Lockhart et al. teaches that the array of probes can comprise up to 100 different oligonucleotide probes (abstract).

Therefore, it would have been prima facie obvious to one of ordinary skill in the art at the time the invention was made to bind the nuclear gene associated with the mutations taught by Van den Heuvel to the array taught by Lockhart et al. with a reasonable expectation of success. The ordinary artisan would want to incorporate the nuclear gene associated with mutations onto the array because Lockhart et al. teaches that probes on an array can be used to detect a large number of different target nucleic acids at once and determine the relative abundance of each in a sample (Column 2 lines 35-55). Therefore the ordinary artisan would be motivated have an array consisting of only the nuclear gene associated with the mutation taught by Van den Heuvel in order to detect the mutation in a number of patients simultaneously.

12. Claims 1-2, 39-41 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wallace et al. (US Patent Application Publication US 2006/0099578 May 11, 2006) as evidenced by Wallace (US Patent 5494794 February 27, 1996, referred to as Wallace '794) in view of Van den Heuvel and Smeitink (Bioessays 2001 VOL. 23 p. 518) and Papaconstantinou et al. (US Patent Application publication 2008/0187911 August 7, 2008 priority to 1/30/2003).

With regard to Claim 1, Wallace et al. teaches microarray consisting of probes for mitochondrial genes (abstract). Wallace et al. teaches that these arrays can contain

Art Unit: 1634

subsets of probes drawn to mitochondrial energy (p. 2 paragraph 10). Wallace et al. teaches that the microarray can be composed of mtDNA genes from NADH, Cytochrome b, Cytochrome c, ATP synthase 6, ATP synthase 8 (Table 1 and p. 3 paragraph 17). Therefore Wallace et al. teaches a microarray comprising nucleic acid molecules that encode polypeptides of complex I, II, III, IV, or V (e.g. NADH, Cytochrome b, Cytochrome c, ATP synthase 6, ATP synthase 8). Wallace et al. teaches that the arrays can be designed such that genes related to OXPHOS are detected (p. 9 paragraph 64).

OXPHOS is composed of 5 enzyme complexes assembled from 13 mitochondrial DNA and 50 nuclear DNA subunits (as evidenced by Wallace '794 Column 1 lines 60-67). Wallace '794 teaches that OXPHOS is composed of Complex I (NADH); complex III (cytochrome c and cytochrome b); Complex IV (cytochrome c, COI, COII, COIII); and complex V (ATP synthase) (as evidenced by Wallace '794 Column 1 lines 60-67 and Column 2 lines 1-5). Therefore an array related to OXPHOS would include nucleic acid molecules of mitochondrial respiratory chain of complex I, III, IV, and V.

With regard to Claim 2, Wallace et al. teaches the array can include any number of genes related to mitochondrial function including ATP Synthase, F1 complex, 0 subunit; ATP Synthase, F0 complex, d subunit; ATP Synthase, F0 complex, C3 subunit; ATP Synthase, F1 complex, gamma polypeptide 1; ATP Synthase F0 complex subunit F (Table 3).

With regard to Claim 39, Wallace et al. teaches that the probes are 20-30 nucleotides in length (p. 4 paragraph 24).

With regard to Claims 40-41, Wallace et al. teaches the microarray can contain probes for all genes involved in mitochondrial biology or can contain probes for at least 10 genes or at least 25 genes (p. 6 paragraph 42).

However, Wallace et al. does not teach a microarray which consists of only nucleic genes of the mitochondrial respiratory chain.

With regard to Claim 1, Van den Heuvel and Smeitink teach although deficiencies can be either the mitochondrial DNA or nuclear DNA, the percentage of those patients with mitochondrial DNA abnormalities is relatively low (p. 518 2nd column 1st full paragraph). Van den Heuvel and Smeitink teaches that therefore screening for common mtDNA mutations in patients with established OXPHOS disorder (ATP through oxidative phosphorylation) is unsatisfactory (p. 518 2<sup>nd</sup> column 1<sup>st</sup> full paragraph). Therefore Van den Heuvel and Smeitink teach that it would be useful to screen for abnormalities in nuclear DNA.

Papaconstantinou et al. teaches microarrays of nucleic encoded genes (paragraph 120 p. 20). Papaconstantinou et al. teaches that the microarray for detection of a particular invention can be composed solely of genes of nuclear origin wherein the genes encoded by the mitochondrial DNA were removed (paragraph 126 p. 24). Therefore Papaconstantinou et al. teaches that microarrays can be designed such that only nuclear genes are detected.

Therefore it would be prima facie obvious to one of ordinary skill in the art to modify the various subsets of microarrays taught by Wallace et al. to design an array consisting of at least 90% nuclear genes for the use of detection of nuclear



Art Unit: 1634

abnormalities as taught by Van den Heuvel and Smeitink. The ordinary artisan would be motivated to design an array of only nuclear genes because Van den Heuvel and Smeitink teach that the vast majority of abnormalities are from nuclear DNA. Further Papaconstantinou et al. teaches that that the ordinary artisan would be able to design microarrays consisting only of nuclear genes. It would have been obvious to one of ordinary skill in the art at the time the invention was made to design an array only of nuclear genes with a predictable expectation of successful screening of diseases associated with nuclear genes. The ordinary artisan would be motivated to produce an array consisting of only nuclear genes because Van den Heuvel and Smeitink teach that the majority of mutations are associated with nuclear genes.

### ***Conclusion***

9. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 1634

the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to KATHERINE SALMON whose telephone number is (571)272-3316. The examiner can normally be reached on Monday-Friday 8AM-530PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James (Doug) Schultz can be reached on (571) 272-0763. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Katherine Salmon/  
Examiner, Art Unit 1634

/Sarae Bausch/  
Primary Examiner, Art Unit 1634